

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 1.53(b))

Attorney Docket No.	31611.0028
First Inventor	O'Brien et al.
Title	Nanotube Coatings For Implantable Electrodes
Express Mail Label No.	EU940429279US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

Commissioner for Patents
ADDRESS TO: Box Patent Application
Washington, D.C. 20231

- | | |
|---|--|
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status.
See CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <u>16</u> /]
(preferred arrangement set forth below)</p> <ul style="list-style-type: none"> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R&D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets <u>4</u> /]</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages <u>3</u> /]</p> <p>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)</p> <p>i. <input type="checkbox"/> DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> | <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. <input type="checkbox"/> Specification Sequence Listing on:</p> <p>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</p> <p>ii. <input type="checkbox"/> paper</p> <p>c. <input type="checkbox"/> Statements verifying identity of above copies</p> |
|---|--|

17858 U.S. PTO
10/719632



ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement of Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
17. ☒ Other: Credit Card Forms for \$962.00 and \$40.00

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of the prior application No: /

Prior application information: Examiner: Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label 33751		OR <input type="checkbox"/> Correspondence address below	
NAME		Michael F. Scalise	
		Wilson Greatbatch Technologies, Inc.	
ADDRESS		10,000 Wehrle Drive	
CITY	Clarence	STATE	New York
		ZIP CODE	14031
COUNTRY	USA	TELEPHONE	(716) 759-5810
		FAX	(716) 759-5074
Name (Print/Type)	Michael F. Scalise	Registration No. (Attorney/Agent)	34,920
Signature	<i>Michael F. Scalise</i>	Date	November 21, 2003

"Express Mail" Mailing Label Number EU940429279US

Date of Deposit November 21, 2003

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Alexandria, VA 22313-1450.

Rosemarie Contella
Name

Rosemarie Contella
Signature

**FEE TRANSMITTAL
for FY 2002**

Patent Fees are subject to annual revision.

G Applicant claims small entity status. See 37 CFR 1.27.

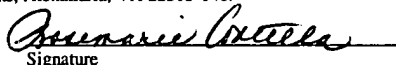
Application Number	
Filing Date	November 21, 2003
First Named Inventor	O'Brien et al.
Examiner Name	
Group/Art Unit	
Attorney Docket Number	31611.0028

TOTAL AMOUNT OF PAYMENT (\$1,002.00)

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)			
<input type="radio"/> Check <input type="radio"/> Credit Card <input type="radio"/> G Money Order <input type="radio"/> G Other <input type="radio"/> G None Deposit Account: Deposit Account Number: <u>502460</u> Deposit Account Name: _____ The Commissioner is hereby authorized to (check all that apply) <input type="radio"/> Charge fee(s) indicated below Charge any fee deficiencies or credit any overpayments <input type="radio"/> Charge any additional fees during pendency of this application. <input type="radio"/> Charge fees indicated below, except for the filing fee to the above-identified deposit account				3. ADDITIONAL FEES			
				Large Entity		Small Entity	
				Fee Code	Fee (\$)	Fee Code	Fee (\$)
				105	130	205	65
				Surcharge - late filing fee or oath			
				127	50	227	25
				Surcharge - late provisional filing fee or cover sheet			
				139	130	139	130
				Non-English specification			
				147	2,520	147	2,520
				For filing a request for <i>ex parte</i> reexamination			
				112	920*	112	920*
				Requesting Publication of SIR prior to Examiner Action			
				113	1,840*	113	1,840*
				Requesting Publication of SIR after Examiner Action			
				115	110	215	55
				Extension for reply within first month			
				116	420	216	210
				Extension for reply within second month			
				117	950	217	475
				Extension for reply within third month			
				118	1,480	218	740
				Extension for reply within fourth month			
				128	2,010	228	1,005
				Extension for reply within fifth month			
				119	330	219	165
				Notice of Appeal			
				120	330	220	165
				Filing a brief in support of an appeal			
				121	290	221	145
				Request for oral hearing			
				138	1,510	138	1,510
				Petition to institute a public use proceeding			
				140	110	240	55
				Petition to revive - unavoidable			
				141	1,330	241	665
				Petition to revive - unintentional			
				142	1,330	242	665
				10 advance copies Utility issue fee (or reissue)			
				143	480	243	240
				Design issue fee			
				144	640	244	320
				Plant issue fee			
				122	130	122	130
				Petitions to the Commissioner			
				123	50	123	50
				Processing fee under 37 CFR 1.17(g)			
				126	180	126	180
				Submission of Information Disclosure Statement			
				581	40	581	40
				Recording each patent assignment per property (times number of properties)			
				146	770	246	385
				Filing a submission after final rejection(37 CFR 1.129(a))			
				149	770	249	385
				For each add'l invention to be examined(37 CFR 1.129(b))			
				179	770	279	385
				Request For Continued Examination (RCE)			
				169	900	169	900
				Request for Expedited Examination of a design appln.			
				Other fee (specify) _____			
				*Reduced by basic filing fee paid			
				SUBTOTAL (3)			
				\$40			

Express Mail Mailing Label Number EU940429279USDate of Deposit November 21, 2003

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Alexandria, VA 22313-140.

Rosemarie Contella
Name

 Signature
November 21, 2003
Date of Signature